



ITW

Attorney Docket # 5151-19PUS

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art: 3744

In re Application of

Erwin OSER et al.

Serial No.: 10/583,925 ✓

Filed: August 10, 2007

For: A Method of Transforming Heat Energy to
Mechanical Energy in a Low-Pressure Expansion
Device

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

Attached is a copy of the official filing receipt received from the U.S. Patent and
Trademark Office in the above-identified application.

There is an error in:

- ☐ Applicants' name and/or address
- ☒ Title
- ☐ Filing Date
- ☐ Serial Number
- ☐ Priority Data (country, number)
- ☐ Incorrect Priority Date
- ☐ Other [pls specify]

which should read as follows: A Method of Transforming Heat Energy to Mechanical
Energy in a Low-Pressure Expansion Device

A copy of the filing receipt is submitted herewith on which the requested change is entered in red. Enclosed please find a copy of the Combined Declaration showing the correct title name.

It is respectfully requested that a corrected filing receipt be issued.

Respectfully submitted,
COHEN PONTANI LIEBERMAN & PAVANE LLP

By:

A handwritten signature in black ink, appearing to read "Thomas C. Pontani", is written over a horizontal line.

Thomas C. Pontani
Reg. No. 29,763
551 Fifth Avenue, Suite 1210
New York, New York 10176
(212) 687-2770

Dated: October 22, 2008



UNITED STATES PATENT AND TRADEMARK OFFICE

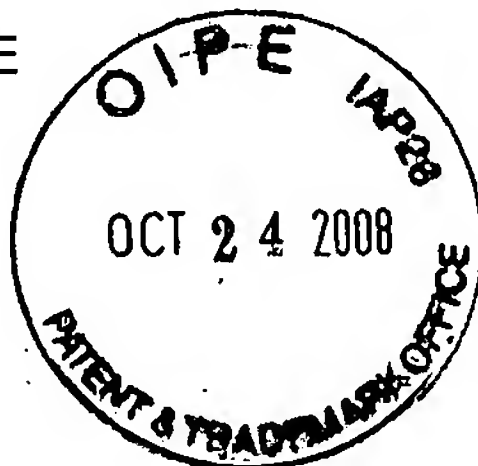
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/583,925	08/10/2007	3744	515	5151-19PUS	20	3

CONFIRMATION NO. 4759

27799

COHEN, PONTANI, LIEBERMAN & PAVANE
551 FIFTH AVENUE
SUITE 1210
NEW YORK, NY 10176



FILING RECEIPT



Date Mailed: 03/04/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

Erwin Oser, Koeln, GERMANY;
Michael Rannow, Lennestadt, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 27799

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/53654 12/22/2004

Foreign Applications

GERMANY 103 60 364.6 12/22/2003
GERMANY 103 60 380.8 12/22/2003
GERMANY 103 60 379.4 12/22/2003
GERMANY 103 61 203.3 12/24/2003
GERMANY 103 61 223.8 12/24/2003

If Required, Foreign Filing License Granted: 02/29/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/583,925**

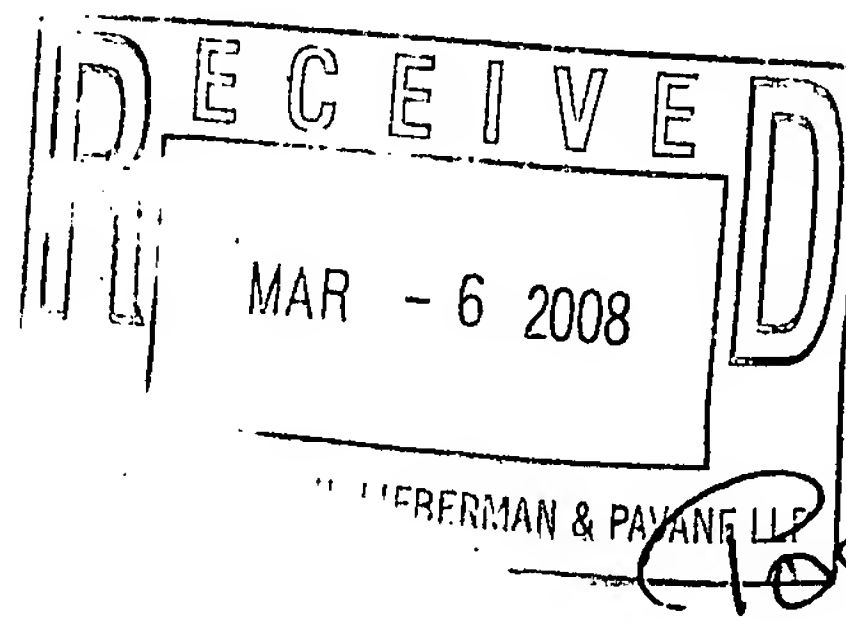
Projected Publication Date: 06/12/2008

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

page 1 of 3



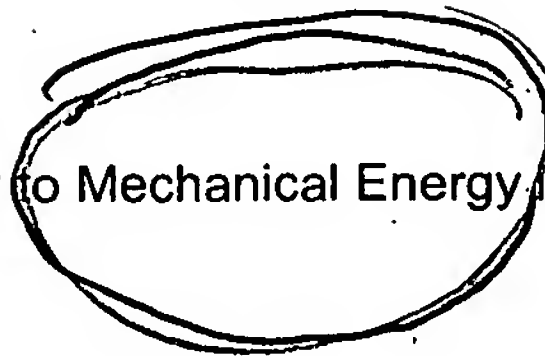
Title

Method of Transforming Heat Energy to Mechanical Energy to Mechanical Energy in a Low-Pressure Expansion Device

Preliminary Class

062

Remove



PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY Includes Reference to PCT International Applications			Attorney's Docket 5151-19PUS																																									
<p>As a below named inventor, I hereby declare that:</p> <p>My residence, post office address and citizenship are as stated below next to my name.</p> <p>I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <p style="text-align: center;">A METHOD OF TRANSFORMING HEAT ENERGY TO MECHANICAL ENERGY IN A LOW-PRESSURE EXPANSION DEVICE</p> <p>the specification of which (check only one item below)</p> <p><input type="checkbox"/> is attached hereto</p> <p><input type="checkbox"/> was filed as United States application Serial No. _____</p> <p>On _____</p> <p>And was amended _____</p> <p>On _____ (if applicable).</p> <p><input checked="" type="checkbox"/> was filed as PCT International application Number <u>PCT/EP2004/053654</u> On <u>22 December 2004</u> And was amended under PCT Article 19 on _____ (if applicable).</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.</p> <p>I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.</p> <p>I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.</p> <table border="1"><thead><tr><th colspan="5">PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:</th></tr><tr><th>Country (if PCT, indicate "PCT")</th><th>Application Number</th><th>Date of Filing (day, month, year)</th><th colspan="2">Priority Claimed Under 35 U.S.C. 119</th></tr></thead><tbody><tr><td>Germany</td><td>103 60 380.8</td><td>22 December 2003</td><td><input checked="" type="checkbox"/> YES</td><td><input type="checkbox"/> NO</td></tr><tr><td>Germany</td><td>103 60 379.4</td><td>22 December 2003</td><td><input checked="" type="checkbox"/> YES</td><td><input type="checkbox"/> NO</td></tr><tr><td>Germany</td><td>103 60 364.6</td><td>22 December 2003</td><td><input checked="" type="checkbox"/> YES</td><td><input type="checkbox"/> NO</td></tr><tr><td>Germany</td><td>103 61 203.3</td><td>24 December 2003</td><td><input checked="" type="checkbox"/> YES</td><td><input type="checkbox"/> NO</td></tr><tr><td>Germany</td><td>103 61 223.8</td><td>24 December 2003</td><td><input checked="" type="checkbox"/> YES</td><td><input type="checkbox"/> NO</td></tr><tr><td>PCT</td><td>PCT/EP2004/053654</td><td>22 December 2004</td><td><input type="checkbox"/> YES</td><td><input checked="" type="checkbox"/> NO</td></tr></tbody></table>					PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:					Country (if PCT, indicate "PCT")	Application Number	Date of Filing (day, month, year)	Priority Claimed Under 35 U.S.C. 119		Germany	103 60 380.8	22 December 2003	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	Germany	103 60 379.4	22 December 2003	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	Germany	103 60 364.6	22 December 2003	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	Germany	103 61 203.3	24 December 2003	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	Germany	103 61 223.8	24 December 2003	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	PCT	PCT/EP2004/053654	22 December 2004	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO
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PCT	PCT/EP2004/053654	22 December 2004	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO																																								

Combined Declaration for Patent Application and Power of Attorney (Continued) (Includes Reference to PCT International Applications)		Attorney's Docket. 5151-19PUS
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) at <i>Cohen, Pontani, Lieberman & Pavane</i> to prosecute this application and transact all business in the Patent and Trademark Office connected therewith Customer number 27799		
Send correspondence to: <i>Cohen, Pontani, Lieberman & Pavane LLP</i> at the address for the following customer Number: 27799		Direct Telephone calls to: (212) 687-2770
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.		
201	Primary Citizenship Country::	Germany
	Given Name::	Erwin
	Middle Name::	
	Family Name::	OSER
	City of Residence::	Koeln
	Country of Residence::	Germany
	Street of mailing address::	Hansaring 145-147
	City of mailing address::	Koeln
	Country of mailing address::	Germany
	Postal or Zip Code of mailing address::	50670

202	Primary Citizenship Country::	Germany
	Given Name::	Michael
	Middle Name::	
	Family Name::	RANNOW
	City of Residence::	Lennestadt
	Country of Residence::	Germany
	Street of mailing address::	Bilsteiner Weg 20
	City of mailing address::	Lennestadt
	Country of mailing address::	Germany
Postal or Zip Code of mailing address::	57368	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.	
SIGNATURE OF INVENTOR 201 <i>OSER</i>	SIGNATURE OF INVENTOR 202 <i>R. P. RANNOW</i>
DATE <i>July 10, 2007</i>	DATE
Additional inventor(s) name(s) & address(es) attached? [] Yes [x] No	